

Supplemental Amendment dated: Sept. 21, 2005Customer No.: 00909

Application Serial No.: 09/722,050

Attorney Docket No. 089070-0311365 (23439-010)

In Response to Office Action mailed December 14, 2004

This listing of claims will replace all prior versions and listings of claims in the Application.

LISTING OF CLAIMS:

1. *(Currently Amended)* A ~~graphical user interface for use with a computer-implemented~~ system for viewing ~~and analyzing~~ performance data comprising:
 - a performance view module ~~to enable one or more users to view~~ for viewing earnings ~~estimates performance data of one or more~~ for selected contributors, ~~wherein performance data is~~ aggregated
 - performance determining means for determining the performance of selected contributors; and
 - a performance aggregation module for aggregating the earnings estimates performance data for selected contributors according to one or more user-defined criteria. ~~wherein one or more~~ contributors' performance in estimating earnings events are measured and compared.
2. *(Currently Amended)* The ~~graphical user interface system~~ of claim 1 wherein the performance determining means contributor performance is measured and compared ~~measures~~ and compares contributor performance in absolute terms.
3. *(Currently Amended)* The ~~graphical user interface system~~ of claim 1 wherein contributor performance is measured and compared relative to other contributors' performance.

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4. *(Currently Amended)* The ~~graphical user interface~~ system of claim 1 wherein contributor performance data comprises user selected error metrics.
5. *(Currently Amended)* The ~~graphical user interface~~ system of claim 1 wherein contributor performance data comprises error metrics and wherein contributor performance data is sorted based on user ~~specifies~~ specified criteria.
6. *(Currently Amended)* The ~~graphical user interface~~ system of claim 1 further comprising means for ~~enabling a user to rank~~ ranking contributors based on user-selected criteria.
7. *(Currently Amended)* The ~~graphical user interface~~ system of claim 1 wherein user-defined ~~factors~~ criteria comprise one or more of ticker, company name, analyst, CUSIP, and unique ticker.
8. *(Currently Amended)* The ~~graphical user interface~~ system of claim 1 wherein user-defined criteria comprises one or more of broker, ticker or broker and ticker combination.
9. *(Currently Amended)* The ~~graphical user interface~~ system of claim 1 comprising means ~~a window~~ for displaying, for a selected security, each contributor who made an estimate in ~~the a~~ selected fiscal period or selected fiscal periods.

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10. *(Currently Amended)* The ~~graphical-user-interface~~ system of claim 9, wherein the means for displaying window further displays, for each displayed contributor, summary performance metrics.

11. *(Currently Amended)* The ~~graphical-user-interface~~ system of claim 9, wherein the means for displaying window further displays, for each displayed contributor, aggregate performance metrics.

12. *(Currently Amended)* The ~~graphical-user-interface~~ system of claim 9, wherein the means for displaying graphical-user-interface further comprises a window for displaying further displays period-by-period performance for a selected one of the displayed contributors, for the selected security for each period in the selected fiscal period or selected fiscal periods.

13. *(Currently Amended)* The ~~graphical-user-interface~~ system of claim 9, further comprising means for enabling a user to elect to filter filtering the displayed ~~list of contributors~~ who made an estimate in the selected fiscal period or selected fiscal periods, to display those contributors who have a current estimate.

14. *(Currently Amended)* The ~~graphical-user-interface~~ system of claim 13 wherein the comprising means for receiving user defines defined filter parameters for filtering the displayed ~~list of contributors~~.

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15. (*Currently Amended*) The ~~graphical user interface system~~ of claim 1 comprising means for displaying, for a selected contributor, ~~each security securities~~ for which that contributor has made an estimate.

16. (*Currently Amended*) The ~~graphical user interface system~~ of claim 15, comprising means for displaying, for ~~the a~~ selected contributor, ~~each security securities~~ for which that contributor has made an estimate in a selected fiscal period or selected fiscal periods.

17. (*Currently Amended*) The ~~graphical user interface system~~ of claim 16 further comprising means for displaying, for the selected contributor, and each displayed ~~security securities~~, aggregate performance metrics.

18. (*Currently Amended*) The ~~graphical user interface system~~ of claim 17, wherein the aggregate performance metrics are displayed for estimates made during a selected time frame and represent an are aggregated performance over each period in the selected period time frame.

19. (*Currently Amended*) The ~~graphical user interface system~~ of claim 15 wherein the means graphical user interface further comprises a window for displaying period-by-period performance for the selected contributor, for a selected one of the displayed securities for each period in ~~the a~~ group of selected fiscal periods.

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20. *(Currently Amended)* The ~~graphical user interface~~ system of claim 1 further comprising means for ~~enabling a user to select~~ selecting a contributor-security pair.

21. *(Currently Amended)* The ~~graphical user interface~~ system of claim 20 further comprising means for displaying, for the selected contributor-security pair, period-by-period performance metrics for each period in the a group of selected fiscal periods.

22. *(Currently Amended)* The ~~graphical user interface~~ system of claims 1 further comprising means for displaying for a contributor-security pair at least one historical time-series ~~chart~~ display.

23. *(Currently Amended)* The ~~graphical user interface~~ system of claim 22, wherein the at least one ~~chart comprises a time-series display of~~ includes the contributor's estimates and consensus estimates.

24. *(Currently Amended)* The ~~graphical user interface~~ system of claim 22, wherein the at least one ~~chart comprises a time-series display~~ includes of the contributor's estimates, and consensus estimates, and ~~the actual reported earnings~~ for the security.

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25. (*Currently Amended*) The ~~graphical-user interface system~~ of claim 22, wherein the at least one ~~chart comprises a time-series display of~~ includes the contributor's estimates, ~~and~~ consensus estimates and the actual reported earnings displayed as a vertical bar, with a height indicating the actual reported earnings, on the a time axis corresponding to the day the earnings were reported.

26. (*Currently Amended*) The ~~graphical-user interface system~~ of claim 22, wherein the ~~graphical-user interface system~~ simultaneously displays one chart for the at least one historical time series display ~~each of a plurality of periods.~~

27. (*Currently Amended*) The ~~graphical-user interface system~~ of claim 22, wherein the at least one ~~chart comprises a time-series display~~ displays of the contributor's estimates and at least one other contributor's estimates for comparison purposes.

28. (*Currently Amended*) The ~~graphical-user interface system~~ of claim 27, wherein the at least one other contributor is a best contributor based on predetermined factors.

29. (*Currently Amended*) The ~~graphical-user interface system~~ of claim 27, wherein the at least one other contributor is a comparison contributor selected by the user.

30. (*Currently Amended*) The ~~graphical-user interface system~~ of claim 27, wherein the at least one other contributor is at least one of a high estimate, low estimate or mean estimate.

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31. (*Currently Amended*) The ~~graphical user interface~~ system of claim 27, wherein the at least one other contributor is an enhanced composite estimate.

32. (*Currently Amended*) The ~~graphical user interface~~ system of claim 22, further comprising a time series display of the security's price displayed simultaneously with the at least one ~~chart~~ historical time series display.

33. (*Currently Amended*) The ~~graphical user interface~~ system of claim 27, wherein each contributors' estimate time-series is represented by a line, further comprising means for ensuring ~~that both each lines are is~~ visible even if the estimate value over a particular time is the same.

34. (*Currently Amended*) The ~~graphical user interface~~ system of claim 33, wherein a first line is displayed as a line with a first thickness and a second line is displayed with a second thickness, ~~the first line being on top~~.

35. (*Currently Amended*) The ~~graphical user interface~~ system of claim 1, further comprising means ~~to enable the user for selecting criteria~~ to aggregate performance, the criteria including an ~~by analyst, a broker or analyst/broker pair~~.

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36. (*Currently Amended*) The ~~graphical user interface system~~ of claim 1 wherein the user specified number of periods over which to analyze performance determines how many periods to show in the display of period-by-period data.

37. (*Currently Amended*) The ~~graphical user interface system~~ of claim 1 wherein based on a the user ~~specifies a specified time period window~~ prior to the a report date, the ~~window-determining system determines~~ how far in advance of the report date to include estimates.

38. (*Currently Amended*) The ~~graphical user interface system~~ of claim 1 wherein the user ~~specifies~~ comprising means for a user to specify at least the a number and type of periods and time ~~period window~~ to determine the estimates to be included in an analysis.

39. (*Currently Amended*) A ~~graphical user interface system~~ for use with a computer-implemented system for viewing and analyzing performance data, the ~~graphical user interface system~~ comprising:

a performance view module ~~to enable one or more users to view~~ viewing performance data of ~~one or more~~ contributors, wherein performance data comprises accuracy measures which compare contributors' forecasts and actual performances of one or more earnings events; and means for comparing where the accuracy measures for each contributor are compared one or more selected contributors to other contributors, ~~participating in forecasting the earnings events.~~

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40. (*Currently Amended*) The ~~graphical user interface~~ system of claim 39 wherein contributors are ranked based on performance factors.

41. (*Currently Amended*) The ~~graphical user interface~~ system of claim 39 wherein performance data is updated at predetermined intervals.

42. (*Currently Amended*) The ~~graphical user interface~~ system of claim 39 wherein contributors' forecasts comprise earnings estimates.

43. (*Currently Amended*) The ~~graphical user interface~~ system of claim 39 wherein contributors' forecasts comprise recommendation data.

44. (*Currently Amended*) The ~~graphical user interface~~ system of claim 39 wherein contributors' forecasts comprise price data.

45. (*Currently Amended*) The ~~graphical user interface~~ system of claim 39 wherein actual performances ~~comprise~~ are based on comparison to actual reported earnings for the earnings events.

46. (*Currently Amended*) The ~~graphical user interface~~ system of claim 39 wherein comparisons are ~~distributed~~ made over one or more time periods.

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47. (*Currently Amended*) The ~~graphical user interface system~~ of claim 46 further comprising means for enabling the user to select selecting one or more time periods to view performance data.

48. (*Currently Amended*) The ~~graphical user interface system~~ of claim 39 wherein ~~one or more~~ time periods ~~comprise~~ include fiscal quarters.

49. (*Currently Amended*) The ~~graphical user interface system~~ of claim ~~46~~ 39 wherein ~~one or more~~ time periods ~~comprise~~ include fiscal years.

50. (*Currently Amended*) The ~~graphical user interface system~~ of claim ~~46~~ 39 wherein ~~one or more~~ time periods ~~comprise~~ include a user defined time period.

51. (*Currently Amended*) The ~~graphical user interface system~~ of claim 39 wherein contributors comprise ~~one or more~~ security analysts.

52. (*Currently Amended*) The ~~graphical user interface system~~ of claim 39 further comprising means for viewing performance data for ~~one or more~~ analysts participating in a predetermined earnings event wherein performance data is displayed for ~~one or more~~ a user selected number of time periods.

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53. *(Currently Amended)* The ~~graphical-user-interface~~ system of claim 39 further comprising means for ~~enabling a user to view~~ viewing performance data for a single time period.

54. *(Currently Amended)* The ~~graphical-user-interface~~ system of claim 39 wherein performance data for one or more contributors are translated into an accuracy score for each contributor wherein each accuracy score is an indicator of each contributor's accuracy in relation to other participating contributors.

55. *(Currently Amended)* The ~~graphical-user-interface~~ system of claim 39 wherein performance data for one or more contributors are translated into a set of symbols wherein each symbol in the set of symbols signifies a degree of accuracy for a contributor's ability to forecast earnings events.

56. *(Currently Amended)* The ~~graphical-user-interface~~ system of claim 55 wherein performance data is displayed for ~~one or more predetermined~~ a user selected number of time periods.

57. *(Currently Amended)* The ~~graphical-user-interface~~ system of claim 55 wherein contributors comprise ~~one or more~~ security analysts.

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58. (*Currently Amended*) The ~~graphical user interface~~ system of claim 57 wherein the ~~one or more~~ security analysts are associated with a company.

59. (*Currently Amended*) The ~~graphical user interface~~ system of claim 55 wherein contributors comprise ~~one or more~~ companies.

60. (*Currently Amended*) The ~~graphical user interface~~ system of claim 59 wherein the ~~one or more~~ companies are associated with an analyst.

61. (*Currently Amended*) The ~~graphical user interface~~ system of claim 39 further comprising means for displaying contributors' recommendation data for ~~one or more earnings events~~ a security.

62. (*Currently Amended*) The ~~graphical user interface~~ system of claim 61 wherein recommendation data comprises an average score of one or more recommendations of a contributor, wherein the recommendations of each contributor are translated into numerical values.

63. (*Currently Amended*) The ~~graphical user interface~~ system of claim 39 further enabling ~~the user to view~~ comprising means for viewing consensus data as compared to one or more contributors' performance data.

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64. (*Currently Amended*) The ~~graphical-user-interface system~~ of claim 39 ~~further enabling the user to view~~ comprising means for viewing historical data, wherein historical data comprises historical estimate information for ~~one or more~~ selected contributors.

65. (*Currently Amended*) The ~~graphical-user-interface system~~ of claim 64 wherein historical data and performance data are ~~commingled~~ simultaneously displayed.

66. (*Currently Amended*) The ~~graphical-user-interface system~~ of claim 64 wherein historical data is displayed in a chart format over a predetermined period of time and wherein historical data comprises price information for ~~an earnings event~~ a security.

67. (*Cancelled*)

68. (*Currently Amended*) The ~~graphical-user-interface system~~ of claim 64 wherein historical data further comprises earnings information for a predetermined period of time.

69. (*Currently Amended*) The ~~graphical-user-interface system~~ of claim 64 wherein historical data further comprises earnings revision information for ~~one or more~~ selected contributors.

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70. *(Currently Amended)* The ~~graphical user interface system~~ of claim 69 wherein revision information comprises symbols wherein symbols indicate whether ~~revisions~~ estimates were increased, decreased, ~~reiterated~~, or stayed the same.

71. *(Currently Amended)* The ~~graphical user interface system~~ of claim 39 ~~further enabling the user to view~~ comprising means for viewing and compare comparing one or more selected contributors' performance data, wherein contributors comprise individual analysts.

72. *(Currently Amended)* The ~~graphical user interface system~~ of claim 39 ~~further enabling the user to view~~ comprising means for viewing and compare comparing one or more selected contributors' performance data, wherein contributors comprise ~~one or more companies~~ and wherein each company comprises a set of one or more analysts.

73. *(Currently Amended)* The ~~graphical user interface system~~ of claim 39 ~~further enabling the user to view~~ comprising means for viewing and compare one or more comparing selected contributors' performance data, wherein contributors are selected based on having estimates pertaining to a common ~~comprise an~~ industry.

74. *(Currently Amended)* The ~~graphical user interface system~~ of claim 73 wherein performance data comprises earnings estimate accuracy.

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75. *(Currently Amended)* The ~~graphical user interface~~ system of claim 73 wherein performance data comprises stock picking information.
76. *(Currently Amended)* The ~~graphical user interface~~ system of claim 39 wherein performance data comprises one or more actions taken by ~~one or more~~ contributors, wherein actions comprise one or more of upgrades, downgrades and reiterations made by ~~one or more~~ a contributors for an earnings event.
77. *(Currently Amended)* The ~~graphical user interface~~ system of claim 76 wherein each action is indicated by a ~~symbolic mark~~.
78. *(Currently Amended)* The ~~graphical user interface~~ system of claim 77 ~~further enabling a user to aggregate~~ comprising means for aggregating performance data based on time factors.
79. *(Currently Amended)* The ~~graphical user interface~~ system of claim 78 wherein time factors comprise whether an action has been taken within a predetermined time frame.
80. *(Currently Amended)* The ~~graphical user interface~~ system of claim 39 wherein performance data further comprises accuracy measures which assess the accuracy of a contributor's ability to recommend one or more actions for one or more earnings events.

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81. (*Currently Amended*) The ~~graphical user interface~~ system of claim 80 wherein ~~one or more actions comprise one or more of~~ include buy, hold, and sell recommendations.

82. (*Currently Amended*) The ~~graphical user interface~~ system of claim 81 wherein ~~one or more actions~~ include further comprise strong buy and strong sell recommendations.

83. (*Currently Amended*) The ~~graphical user interface~~ system of claim 39 further comprising a chart for ~~illustrating~~ displaying historical data, wherein historical data comprises earnings estimates made by ~~one or more~~ selected contributors for an earnings event.

84. (*Currently Amended*) The ~~graphical user interface~~ system of claim 83 wherein ~~the one or more~~ selected contributor's historical earnings estimates are compared to a consensus earnings estimate.

85. (*Currently Amended*) The ~~graphical user interface~~ system of claim 39 wherein performance data comprises performance of one or more portfolios created from one or more contributors' recommendations over ~~one or more predetermined~~ selected periods of time for ~~one or more~~ selected earnings events.

86. (*Currently Amended*) The ~~graphical user interface~~ system of claim 85 wherein the one or more portfolios are ranked based on ~~one or more~~ user selected performance factors.

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87. (*Currently Amended*) The ~~graphical user interface system~~ of claim 86 wherein ~~one or more~~ performance factors ~~comprise~~ include profitability of a recommendation.

88. (*Currently Amended*) The ~~graphical user interface system~~ of claim 39 wherein contributors' forecasts comprise predicting directional movement ~~of one or more earnings events~~ for a security's price.

89. (*Cancelled*)

90. (*Currently Amended*) The ~~graphical user interface system~~ of claim 88 wherein directional movement comprises ~~one or more of the~~ a rise and or fall in of an earnings event price of the security by a predetermined time.

91. (*Currently Amended*) The ~~graphical user interface system~~ of claim 39 wherein accuracy measures comprise the timeliness of an estimate of one or more contributors for an earnings event.

92. (*Currently Amended*) The ~~graphical user interface system~~ of claim 91 wherein timeliness comprises predicting an event within a predetermined time period prior to the event.

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93. (*Currently Amended*) The ~~graphical user interface system~~ of claim 92 wherein the event prediction comprises directional movement in price for a security for an earnings event.

94. (*Currently Amended*) The ~~graphical user interface system~~ of claim 92 wherein the event prediction comprises an estimated ~~price of an earnings event~~.